FINANCE (6400)

6400:200. Foundations of Personal Finance. (3 Credits)
Explores application of finance concepts in personal finance with emphasis on the personal financial planning process.

6400:220. Legal & Social Environment of Business. (3 Credits)
Prerequisite: A minimum academic standing of a Sophomore or greater. Explores the legal and social environment in which modern business must function. The legal system, public and private law, and contemporary social and ethical issues are addressed.

6400:300. Introduction to Finance. (3 Credits)
Prerequisites: 3450:145 and 3250:200 or 3250:244. Studies the sources and uses of funds for business. Students cannot get credit for this class and 6400:301. (For non-College of Business Administration students).

6400:301. Principles of Finance. (3 Credits)
Prerequisites: 3250:200 or 3250:244, 3450:145, 6200:201, and completed one of the following: 6200:250 or admitted to the College of Engineering with 48 credit hours completed. An overview of the financial system and the major decision areas of the financial manager such as capital budgeting, financing, and working capital management.

6400:302. Intermediate Corporate Finance. (3 Credits)
Prerequisite: 6400:301. This second course in corporate finance builds upon 6400:301 to provide students with an analytic foundation for careers in business.

6400:321. Business Law I. (3 Credits)
Prerequisite: completion of 64 credits. Discussions designed to develop legal reasoning within substantive areas of contractual obligation, agency relationships, partnerships, corporations, accountant's legal responsibility, federal securities regulation and antitrust law.

6400:322. Business Law II. (3 Credits)
Prerequisite: 6400:321 and completion of 60 credits. Applications of Uniform Commercial Code in sales, commercial paper and secured transactions. Additional discussions include property, wills, estates, trusts, bailments, insurance, suretyship, bankruptcy, and labor law.

6400:323. International Business Law. (3 Credits)
The law and international commercial transactions. Among the subjects covered are sovereignty, treaties; agreements; antitrust practices; property rights; international arbitration.

6400:338. Financial Markets & Institutions. (3 Credits)
Prerequisites: 6400:301 or 6400:300, or permission of instructor. Studies the flows of funds. Analyzes major financial intermediaries. Money and capital markets reviewed with emphasis on interest rates and their impact upon administration of specific financial intermediaries.

6400:341. Contemporary Investments. (3 Credits)
Prerequisite: 6400:300 or 6400:301. Fundamentals of investing for the individual investor. Students cannot get credit for this class and 6400:343. (For non-College of Business Administration students.)

6400:343. Investments. (3 Credits)
Prerequisites: 6400:300 or 6400:301, 3250:426 or 6500:304 or permission of instructor. Range of security investment media explored, alternative investment programs considered and role of securities markets through which goals can be achieved studied.

6400:390. Real Estate Principles: Value Approach. (3 Credits)
A study of real estate: the profession, the process, and the product. Emphasis is on real estate as a product and the valuation process. The measurement of value requires tool abilities in accounting, statistics and finance.

6400:402. Income Property Appraisal. (3 Credits)
Prerequisites: at a minimum must have been admitted to a major in a four-year degree granting college, 6400:301 or 6140:300; or permission of instructor. Advanced course in real property appraisal and valuation. Techniques and concepts will be covered along with the theory underlying such techniques.

6400:403. Real Estate Finance. (3 Credits)
Prerequisites: at a minimum must have been admitted to a major in a four-year degree granting college, 6400:301 or 6140:300. Advanced course in real estate covering financing of and investment in real property. Included are investment techniques, methods, institutions, instruments, valuation, appraisal and policy issues.

6400:414. Risk Management: Property and Casualty. (3 Credits)
Prerequisites: Admission to a major in a four-year degree granting college, [6400:300 or 6400:301]. Addresses tools for managing risk, legal concepts of insurance contracts, personal insurance and commercial property and casualty insurance policies as well as other risk issues.

6400:415. Risk Management: Life and Health Insurance. (3 Credits)
Prerequisites: Admission to a major in a four-year degree granting college, [6400:300 or 6400:301]. Concepts of life and health insurance and risk management are addressed.

6400:417. Retirement Planning. (3 Credits)
Prerequisites: Admission to a major in a four-year degree granting college, [6400:300 or 6400:301]. An in-depth examination of retirement and estate planning objectives, methods, and strategies including the study of employee benefits plans, public and private pension funds, and lifetime strategies for maximization of estate assets.

6400:418. Insurance Operations. (3 Credits)
Prerequisites: 6400:414 or 6400:415 or permission. This course provides a detailed examination of the composition, financial structure, and operation of the property-casualty insurance industry.

6400:424. Legal Concepts of Real Estate. (3 Credits)
Prerequisite: at a minimum must have been admitted to a major in a four-year degree granting college. Study of concepts of law governing the many interests in real estate including acquisition, encumbrance, transfer, rights and obligations of parties, and the various state and federal regulations. The legal concepts of the business of real estate are likewise examined. Emphasis is on a managerial approach utilizing the case method.

6400:432. Seminar in Financial Planning. (3 Credits)
Prerequisites: must have been admitted to a major in a four-year degree granting college, 6200:330, 6200:410, 6400:417, [6400:300 or 6400:301], [6400:341 or 6400:343]. Corequisites: 6400:415. Explores financial planning function, including contact, data acquisition, plan development and implementation; addressing planning techniques and financial planning ethical issues.

6400:436. Commercial Bank Management. (3 Credits)
Prerequisites: Admission to a major in a four-year degree granting college, 6200:250, [6400:300 or 6400:301], and 6400:338. Study of administrative policy determination and decision making within the commercial bank. Analysis of policy making in areas of liquidity, loan and security investment and sources of funds.
6400:437. International Business Finance. (3 Credits)
Prerequisites: Admission to a major in a four-year degree granting college, and [6400:300 or 6400:301]. Theory and practice of financial wealth maximization in the international business enterprise.

6400:438. International Banking. (3 Credits)
Prerequisites: admission to a major in a four-year degree granting college, 6400:437, and [6400:300 or 6400:301]. Examination of recent trends in the expansion of international banking activities and associated revenue maximizing strategies.

6400:448. Advanced Portfolio Management. (3 Credits)
Prerequisites: 6400:343 and [3250:325 or 6500:305]. Advanced Portfolio Management is a semester long case course. The case is the management of the UA Student-Managed Investment Fund. This course's primary activity will be the active management of the Fund. Current and selected topics relating to investments and financial markets will be discussed as needed in the rapidly changing world economy. The course will give the student practical experience in portfolio construction, management and evaluation by managing real money on a real time basis.

6400:461. Enterprise Risk Management. (3 Credits)
Prerequisites: admission to a major in a four-year degree granting college, 6400:414, 6400:415, and 6400:418. Explores risk issues at the firm level with emphasis upon identification and management of risk to enhance firm value.

6400:473. Financial Statement Analysis. (3 Credits)
Prerequisites: admitted to a major in a four-year degree granting college, 6200:201 and [6400:301 or 6400:300 or 6140:300]. Analysis and interpretation of the financial position and performance of the business firm from the perspective of the credit and financial analyst. Emphasizes mechanics and art of financial analysis.

6400:485. Financial Strategy. (3 Credits)
Prerequisites: 6400:302, 6400:343, [3250:380 or 6400:338], and admittance to a major in a four-year degree granting college. Corequisite: 6400:473. Case study based course with applications of financial management theories and tools to make decisions in capital budgeting, capital structure, and working capital management.

6400:489. Advanced Financial Analytics. (3 Credits)
Prerequisites: Senior standing, 6400:302, 6400:338, 6400:343 and [6500:305 or 3250:426]. Capstone course with analysis of financial models using advanced spreadsheet techniques. Models from personal finance, corporate finance and investments are incorporated, with applications in financial planning, forecasting, portfolio theory and security valuation, option valuation, capital investment and cost of capital.

6400:490. Selected Topics in Finance. (1-3 Credits)
Prerequisites: admitted to a major in a four-year degree granting college, 6200:250, and 6400:301. Provides opportunity for study of special topics not covered in current finance courses.

6400:492. Internship in Financial Management. (3 Credits)
Prerequisite: Permission of designated faculty member. On the job experience with public or private sector organizations in the financial services field. Individual assignments are approved and supervised by the designated member of the faculty in the student’s major field. Periodic reports and term papers required.

6400:493. Internship in Financial Planning. (3 Credits)
Prerequisite: Permission of designated faculty member. On the job experience with public or private sector organizations in the financial services field. Individual assignments are approved and supervised by the designated member of the faculty in the student’s major field. Periodic reports and term papers required.

6400:494. Internship in Risk Management and Insurance. (3 Credits)
Prerequisite: Permission of designated faculty member. On the job experience with public or private sector organizations in the financial services field. Individual assignments are approved and supervised by the designated member of the faculty in the student’s major field. Periodic reports and term papers required.

6400:495. Research Project in Finance. (1-3 Credits)
Prerequisites: 6400:302, 6400:338, 6400:343, 6400:473 and (6500:305 or 3250:426). Corequisites: 6400:414 or 6400:415 or 6400:416 or 6400:432 or 6400:436 or 6400:438 or 6400:448 or 6400:481 or 6400:485 or 6400:489. Taken concurrently with or following a 400-level Finance course. Involves independent out-of-class work on a project designed in consultation with the designated 400-level course instructor.

6400:499. Independent Study: Finance. (1-3 Credits)
Prerequisite: Permission of department head. Provides means for individualized in-depth study of finance problem or problems from which student can derive significant benefit.